

# NICHOLAS CAPUTO

555 Pennsylvania Avenue, Washington, DC 20001

## APPOINTMENTS

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### **Johns Hopkins University School of Government and Policy**

(Incoming) Assistant Professor, 2026

### **University of Oxford, Oxford Martin AI Governance Initiative**

Researcher – *Legal Alignment on Frontier AI*, Oxford, UK, 2024-Present

## EDUCATION

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### **Harvard Law School**, J.D. *cum laude*, May 2024

Activities: Jessup International Moot Court: World's Best Applicant Memorial & Applicant Side  
*Harvard International Law Journal*, Executive Submissions Editor  
Research Assistant to Professors Cass R. Sunstein and Mark Wu

**Carleton College**, B.A. *cum laude* in Political Science & International Relations, Jun. 2019

## WORKING PAPERS

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*Administrative Law's Fourth Settlement: AI and the Capability-Accountability Trap*, **SSRN** (Jan. 10, 2026), [https://papers.ssrn.com/sol3/papers.cfm?abstract\\_id=6049814](https://papers.ssrn.com/sol3/papers.cfm?abstract_id=6049814).

*Legal Alignment for Safe and Ethical AI* (with Noam Kolt, Jack Boeglin, Cullen O'Keefe, Rishi Bommasani, Stephen Casper, Mariano-Florentino Cuéllar, Noah Feldman, Iason Gabriel, Gillian K. Hadfield, Lewis Hammond, Peter Henderson, Atoosa Kasirzadeh, Seth Lazar, Anka Reuel, Kevin L. Wei, & Jonathan Zittrain) **arXiv** (Jan. 7, 2026), <https://www.arxiv.org/abs/2601.04175>.

## PUBLICATIONS

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*Alignment as Jurisprudence*, 27 **Yale Journal of Law & Technology** 390 (Sept. 2025).

*"Quiet" Enjoyment: Uncovering the Hidden History of the Right to Attention in Private and Public*, 28 **Stanford Technology Law Review** 120 (Jul. 2025).

*(When) Should We Delegate AI Governance to AIs? Some Lessons from Administrative Law*, **NeurIPS '25 Workshop on Regulatable Machine Learning** (non-archival, Dec. 2025).

*Rules, Cases, and Reasoning: Positivist Legal Theory as a Framework for Pluralistic AI Alignment*, **NeurIPS '24 Workshop on Pluralistic Alignment** (non-archival, Dec. 2024).

## WHITE PAPERS

*Safety Frameworks and Standards: A Comparative Analysis to Advance Risk Management of Frontier AI*, **Oxford Martin AI Governance Initiative** (secondary author, Oct. 2025).

*Verification for International AI Governance*, **Oxford Martin AI Governance Initiative** (secondary author, Jul. 2025).

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*Risk Tiers: Towards a Gold Standard for Frontier AI*, **Oxford Martin AI Governance Initiative** (primary author, Jun. 2025).

*Governing AI Beyond the Pretraining Frontier*, **arXiv** (Jan. 2025).

*International Governance through Domestic Law in the Forthcoming UK Frontier AI Bill*, **Oxford Martin AI Governance Initiative** (primary author, Dec. 2024).

## PRESENTATIONS

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*Legal Alignment for Safe and Ethical AI*, **IASEAI '26 Cooperative AI Foundation/Brookings Workshop on Establishing Foundational Principles and Thresholds for Multi-Agent AI Governance** (Feb. 2026).

*Legal Alignment for Safe and Ethical AI*, **Meaning Alignment Institute** (Feb. 2026).

*Legal Pathways to Regulation of AI Agents*, **AAAI '26 (Singaporean Government Legal Working Group)** (Jan. 2026).

Poster: *(When) Should We Delegate AI Governance to AIs? Some Lessons from Administrative Law*, **NeurIPS '25 Workshop on Regulatable Machine Learning** (Dec. 2025).

*Legal Theory as Alignment Method*, **Forethought Foundation** (May 2025).

*Law as Alignment*, **Inaugural Roundtable on AI Safety Law of the Center for Law and AI Risk, University of Alabama** (Apr. 2025).

*Legal Pathways to Accountable AI*, **Lorong AI (Singaporean Government)** (Apr. 2025).

Poster: *Alignment from Rules and Cases*, **FAR.AI Alignment Workshop at ICLR '25** (Apr. 2025).

Poster: *Legal Theory for Pluralistic Alignment*, **NeurIPS '24 Workshop on Pluralistic Alignment** (Dec. 2024).

## SERVICE

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Program Committee Member of the **ICML '25 Workshop on Technical AI Governance**.

Reviewer for **IASEAI '26 Law and Regulation Track; NeurIPS '25 Workshop on Regulatable ML; NeurIPS '24 Workshop on Pluralistic Alignment**.

## PROFESSIONAL EXPERIENCE

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**Knight First Amendment Institute**, *Legal Intern*, New York, NY Summer 2023

**Institute for Law & AI**, *Summer Research Fellow*, New York, NY Summer 2023

# NICHOLAS CAPUTO

**Berkman Klein Ctr. for Internet and Society**, *Cyberlaw Clinical Student*, Cambridge, MA      Fall 2022

**Digital Freedom Fund**, *Chayes Fellow*, Berlin, Germany      Summer 2022

**Harbinger Debate Academy**, *Debate Coach*, Shanghai, China      2020-2021

**National High School Debate League of China**, *League Co-Director*, Beijing, China      2019-2020

## **BAR ADMISSION**

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District of Columbia (pending).

## **LANGUAGES**

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French (advanced), Mandarin Chinese (HSK 4 [advanced-intermediate]).